

## A P P E N D I X I

Dear Sir/Madam,

I, the M. Phil. student of Shivaji University, Kolhapur, has undertaken the research in your organisation on the topic entitled, "A Critical Evaluation of Performance Appraisal in Selected Industrial Organisations".

You will find here some questions related to the said topic. Against each question, answer is given YES or No. You are requested to read the question carefully and put the tick mark () either of the answer whichever you feel correct.

I humbly request you to furnish me the required information as given in the questionnaire and it shall be used to the research purpose only.

Expecting your full co-operation.

Thanking you,

DATE

Yours faithfully,

(U. H. Arahunasi)

RESEARCH FELLOW

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QUESTIONNAIRE TO THE APPRAISER

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NAME :

DEPARTMENT :

LENGTH OF SERVICE :

1. Which method are you following to appraise the performance of your employer ?
2. How frequently are you conducting the performance appraisal system ?
3. Do you take into account the previous performance for appraising the present performance ? YES / NO
4. Do you change the performance appraisal system from time to time ? YES / NO
5. Is the performance appraisal system same for all jobs in the organisation ? YES / NO
6. Do you find the favourable attitude of employees towards the existing performance appraisal system ? YES / NO
7. Does the existing performance appraisal system improve your employees' job performance ? YES / NO
8. Do you reward the deserving employees ? YES / NO
9. Do you find consistency in employees' job performance ? YES / NO
10. Do you think performance appraisal system is a problem solving system ? YES / NO
11. Should every promotion be made on performance appraisal basis ? YES / NO



12. Do you think performance appraisal system prevents the burden of close supervision and control ? YES / NO
13. Does the existing performance appraisal system is an accurate measurement of employee performance ?  
YES / NO
14. Does the performance appraisal form is the recommendation to increment and promotion ? YES / NO
15. Does the performance appraisal system help to effective salary planning and promotion ? YES / NO
16. Do you encourage the ideas and suggestions of your employee regarding the improvement of the existing performance appraisal system ? YES / NO
17. Do you communicate the performance of employee after appraising his/her performance ? YES / NO

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QUESTIONNAIRE TO THE APPRAISEE

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NAME :

DEPARTMENT :

LENGTH OF SERVICE :

1. Are you satisfied with the existing performance appraisal system ? YES / NO
2. Do you take an active participation in the performance appraisal system ? YES / NO
3. Does the performance appraisal system provide you the opportunity to make decision regarding the job ?  
YES / No
4. Do you get an opportunity to use your special skills and abilities ? YES / NO
5. Can you work effectively without close supervision ?  
YES / No
6. Does the performance appraisal help in effective salary planning and promotion ? YES / No
7. Does the performance appraisal system improve your job Performance ? YES / NO
8. Do you think that the performance appraisal system is a problem solving system ? YES / NO
9. Do you think that the performance appraisal system prevents the burden of close supervision and control ?  
YES / NO

10. Has the system made any positive influence on the prestige of your job ? YES / No
11. Does your appraiser consider your behaviour outside the organisation while appraising your performance ? YES / NO
12. Do you appraise your performance before being appraised by your superior ? YES / NO
13. Does the company impart the training about the performance appraisal system ? YES / NO
14. Do you have the freedom to express the grievances in your job ? YES / NO
15. Do you get the reward for good performance ? YES / NO
16. Do you get an opportunity for double promotion on your good performance ? YES / NO
17. Do you think the performance appraisal system helps you to build up a good personality ? YES / NO
18. Are you aware of performance appraisal system ? YES / NO
19. Do you see any need for improvement in the existing performance appraisal system ? YES / NO
20. Do you make suggestions to your appraiser regarding the improvement in the system ? YES / NO
21. Does your appraiser invite your suggestions ? YES / NO
22. Do you think the existing performance appraisal system is fair and accurate to measure your performance ; YES / NO

A P P E N D I X    II

CONFIDENTIAL

PERFORMANCE APPRAISAL FORM

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THE MYSORE KIRLOSKAR LIMITED, UNIT II SATTUR, DHARWAD

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ANNUAL PERFORMANCE APPRAISAL                          TO :  
(Supervisory and Junior Management                          NAME :  
Personnel upto Asst. Manager Level)                          DEPT. :  
The particulars of Sri.    C. No.  
is furnished hereunder. His performance for the year 198 is to be  
objectively assessed by you personally. This form should be filled  
up without any references to increment or promotions in view. The  
duly filled form should be returned to the undersigned within 6 days.

DATE :    PERSONNEL OFFICER

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PERTICULARS :

NAME :    DEPT. :

CARD NO. :    DATE OF JOINING

PRESENT BASIC :    TOTAL EXP. IN Co.

PRESENT GRADE & SCALE    PREVIOUS EXP.

ACADEMIC QUALIFICATIONS

WORK PERFORMANCE RECORD

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TO BE FILLED IN BY APPRAISER

PRESENT WORK ASSIGNMENT

EXTRA CURRICULAR ACTIVITIES

APPRAISAL FACTOR      40 .....RATING..... 100      GIVE CONSIDERATION TO SUCH DETAILS AS

V.PR    POOR    FAIR    GOOD    V.GD    XLNT.

PERFORMING ABILITIES

1. Output/planned schedules

Ability to plan & programme the work of the unit and individual assignments. Follow up actions on bottlenecks coping with absenteeism. priorities assigned to task. Flexibility. Consistency. Knowledge of job & expected results per plan.

2. Quality / specifications

Interest & pride in maintaining expected quality levels, clarity of quality ideas given to men. Pattern of rejects, reworks, errors & wastes. Efforts to remove causes of quality defects. Reliability in assuring stated quality standards.

Understanding of unit costs & total costs in relation to activity levels. Control over wastes & overheads. Cost reduction efforts. Reliability of cost data & information given to others.

3. Cost knowledge & cost consciousness

Ability to meet I.E. Standards: man & machine utilisation, material yields, waste & scrap allowance. Pattern of OT work in the unit. Level of unit performance.

4. Economy of resource utilisation

**5. Care & maintenance  
of Co. property**

- Care & planning for upkeep of machinery, plant & equipment, tools, grounds & yards. Preventive approach to lost time due to breakdowns & emergencies. Loss of tools & parts. Repairs, overhauls, rebuilding.
6. Accuracy of record & Communications
7. Use of current data for control action

**PEOPLE**

- Care & promptness in the collection & reporting on data, accuracy, relevance & timeliness of reports, readiness with which needed data are made available.
- Timeliness & promptness in the use of real time data for corrective control action & reliability. Perception of trend & early warning signals in likely trouble areas.
- Attitude towards resolving personal problems of workers. Approach to & fairness in handling grievances. Equitable implementation of employee relation policies. Frequency of upward references for guidance.
- Ability to communicate with, instruct & train men on the job. Time & personal attention given to training men. Ability to trust & delegate responsible work.
- Accessibility. Sociability. Ability to maintain discipline & yet permit discretionary behaviour among men. Mutual respect & acceptance commanded. Sensitivity & empathy
8. Handling of employee Grievances
9. Ability to train & guide others
10. Interpersonal relations

Any other factors unique to this position.

**POLICIES & PRACTICES**

11. Understanding of operating policies & procedures  
Knowledge & accuracy of interpretation of written or implied policies, procedures & methods of operation & administration of the unit. Creating understanding in others about them. Improvement, initiative.
12. Adherence to standing operating policies & procedures  
Willingness & ability to adhere & enforce operating policies, procedures, work rules & methods. Consistency in their use & owning responsibility for consequences.
13. Improvement of operating policies & procedures  
Willingness & ability to suggest improvements in operating policies, procedures, work rules & methods. Acceptance of change in methods & procedures. Graceful participation in implementing changes in them.
14. Cooperation with others  
**PERSONAL QUALITIES**  
Willingness & ability to give assistance to others in joint effort, to work with fellow supervisors in team work & take the needed initiative to foster teamwork with others. Flexibility in emergencies.
15. Loyalty & dependability  
Interest in the present job & commitment to make it a career job in the company. Attitude towards management & Co. spreading rumours & indulging in small talks. Projecting good image & public relations.

**16. Decision making ability**

Promptness, consistency, precision & use of facts in & approach to making decisions. Owning consequences of decisions. Ability to pre-sell the need for decision & enlisting participation to implement.

**17. Maturity of judgement**

Range & maturity in perceiving given situations. Assumptions & consistency in making judgement. Preferred value system in behaviour. Bias, prejudice, equity, fair play & justice in judging/trating others. Familiarity with & clarity of understanding of job objectives, performance, expectations & the specific & common responsibilities of this job - Does he know?

Personal abilities, pride & concern for the predictable fulfilment of job responsibilities & their effectiveness. The way job experience has been utilised for personal growth & development - Can he do?

Readiness & grace with which job responsibilities or changes in them are taken & fulfilled. Sense of commitment & concern to show results - Does he care to do?

**18. Knowledge of job responsibilities**

**19. Fulfilment of job responsibilities**

**20. Attitude towards job responsibilities**

Any other factor unique to this position

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Strong points worthy of  
special mention :

Suggestions for mutually  
beneficial utilisation  
of the strengths

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Inadequancies to be  
remedied

Can be made by good by the Co. through

To be made good by own efforts through

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Special observations on health, personal habits or character which may come in the way of development or utilisation of strengths or capacity for work and act as barrier to promotion :

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Based on this appraisal, the employee on the present job is :  
(Circle one)

	POOR	FAIR	GOOD	V. GOOD	EXCELLENT	OUTSTANDING
SCORE	40 -45	50-55	60 65	70 75	80 85	90 95 100

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Appraised by : DATE

RECOMMENDATIONS (If any) (Attach additional detailed justification sheet)

Signature of Appraiser

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Forwarded by : DATE

RECOMMENDATIONS (If any)

Signature of Division of Head

## PERFORMANCE APPRAISAL FORM

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**WALCHANDNAGAR INDUSTRIES LIMITED, TIWAC DIVISION, DHARWAD**

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1.      a)      NAME :  
             b)      SELECTION :  
             c)      DATE OF JOINING  
             d)      DESIGNATION :  
             e)      SALARY :  
  
2.      a)      Last promotion granted on  
             b)      Special increment granted in years :  
             c)      Special award/appreciation certificate  
                        received in last 3 year  
  
3.      ATTENDANCE RECORD  
             a)      Attendance details  

YEAR	ESI	LWP	ABSENT	NO. OF GATE PASSES
1991				
1990				

  
             b)      No. of occasions attendance bonus  
                        earned in last 2 years  
  
4.      WORK ABILITY  
             a)      Ability to set the machine/tools  
                        independently  
             b)      Ability to read and understand  
                        drawings

- c) Rate of output of work as compared to norms in case of direct workers and against estimate in case of indirect workers
- d) Approach towards job and instances of tool/machine breakages

5. WORK APTITUDE

- a) Ability to use instruments like Verniers, Micrometers, Dial Indicators, etc.
- b) Quality level of output
- c) Consumption of direct and indirect materials
- d) Any suggestions made leading to improvement in quality in last 3 yrs.
- e) Willingness to take up additional work/responsibilities
- f) Attitude towards supervisors and co-workers
- g) Any other

6. RECOMMENDATIONS

- a) Increment : Normal / Special
- b) In case of special increments, the amount

III

c) Promotion

d) Justification for above recommendation

Name & Designation of  
the recommending person

SIGNATURE

DATE

7. REVIEW

(To be done by Departmental Incharge)

Name & Designation of  
Reviewing Officer

SIGNATURE

DATE

8. REVIEW OF PERSONNEL RECORD

MANAGER PERSONNEL & ADMN.

9. a) Granted increment of Rs. w.e.f.

b) Promoted as w.e.f.

with Basic Salary/Wages of Rs. per month.

DATE

ASST. GENERAL MANAGER