

APPENDIX II

“A FINANCIAL SWOT ANALYSIS OF SMALL SCALE INDUSTRIES WITH SPECIAL REFERENCE TO FOUNDRY INDUSTRIES IN PALUS SURROUNDING AREA”

SCHEDULE (QUESTIONNAIRE)

1. INTRODUCTION:

1.1 Name of the Firm –

1.2 Year of Establishment –

1.3 Type of Industry – Foundry

1.4 Type of Ownership –

- | | | | |
|-------------------|--------------------------|------------------------|--------------------------|
| 1. Proprietorship | <input type="checkbox"/> | 2. Partnership | <input type="checkbox"/> |
| 3. Co-operative | <input type="checkbox"/> | 4. Joint Stock Company | <input type="checkbox"/> |
| 5. Public | <input type="checkbox"/> | 6. Private Ltd. | <input type="checkbox"/> |

1.5 Status of Registration-

- | | | | |
|---------------|--------------------------|-----------------|--------------------------|
| 1. Registered | <input type="checkbox"/> | 2. Unregistered | <input type="checkbox"/> |
|---------------|--------------------------|-----------------|--------------------------|

If registered state the name of Authority

- | | | | |
|----------------------|--------------------------|----------|--------------------------|
| 1. State Directorate | <input type="checkbox"/> | 2. DIC | <input type="checkbox"/> |
| 3. SIDO | <input type="checkbox"/> | 4. Other | <input type="checkbox"/> |

1.6 Product Produced

1. Major –

2. By Products I. II. III.

2. FINANCIAL POSITION:-

2.1 How much owned capital initially invested?

Rs. _____

2.2 How much Borrowed capital initially Invested?

Rs. _____

2.3 Requirement of Working Capital

Rs. _____ per month.

2.4 How much amount invested in fixed assets?

Rs. _____

2.5 Sources of Working Capital -

Source	Proportion	Rate of Interest
a. Bank		
b. Friends & Relatives		
c. Money Lender		
d. Govt. Agencies		
e. Other business assistance.		

2.6 Practices followed for working capital loan-

- | | | | |
|-------------------|--------------------------|---------------------|--------------------------|
| 1. Cash Credit | <input type="checkbox"/> | 2. Bill Discounting | <input type="checkbox"/> |
| 3. Bank Guarantee | <input type="checkbox"/> | 4. Term Loan | <input type="checkbox"/> |
| 5. Pledge | <input type="checkbox"/> | 6. Letter of Credit | <input type="checkbox"/> |
| 7. Overdraft | <input type="checkbox"/> | | |

2.7 TOTAL INVESTMENT (1997-2007)-

YEAR	TOTAL INVESTMENT(IN LACS)
1997-1998	
1998-1999	
1999-2000	
2000-2001	
2001-2002	
2002-2003	
2003-2004	
2004-2005	
2005-2006	
2006-2007	

TOTAL SALES (1997-2007)-

Year	Total Sales (in Lacks)	Increase / Decrease	Reasons
1997-1998			
1998-1999			
1999-2000			
2000-2001			
2001-2002			
2002-2003			
2003-2004			
2004-2005			
2005-2006			
2006-2007			

3. BETTER OPPORTUNITIES:-

3.1 Purpose / Intension / Reason of establishing the firm

- 1. To Earn the Money
- 2. Good Business prospect
- 3. Required little skill / low capital requirement
- 4. Good profits in this business
- 5. Adequate local availability of raw material
- 6. To be a entrepreneur

3.1 Sources of Working Capital:-

Year / Source & Proportion	Bank	Friends & Relatives	Money Lenders	Govt. Agencies	Other Bu Assista
1997-1998					
1998-1999					
1999-2000					
2000-2001					
2001-2002					
2002-2003					
2003-2004					
2004-2005					
2005-2006					
2006-2007					

3.3 Sources of Fixed Capital:-

Source	Proportion	Rate of Interest
a. Owned		
b. Bank		
c. Financial Institution		
d. Govt. Agencies		
e. Friends & Relatives		
f. Other Business Assistance		

3.4 Demand for Foundry Product:-

1. No Demand 2. Moderate
3. High 4. Very High

3.5 Future of Foundries:-

1. Very bright 2. Bright
3. Moderate 4. Doubtful
5. No future

Reasons: -

4. FINANCIAL WEAKNESSES:-

4.1 Net Profit and Net Loss

Year	Net Profit	Net Loss	Reason
1997-1998			
1998-1999			
1999-2000			
2000-2001			
2001-2002			
2002-2003			
2003-2004			
2004-2005			
2005-2006			
2006-2007			

4.2 Clearance of Working Capital Advance-

Year	Percentage of Clearance	Reasons for Non Clearance
1997-1998		
1998-1999		
1999-2000		
2000-2001		
2001-2002		
2002-2003		
2003-2004		
2004-2005		
2005-2006		
2006-2007		

4.5 Total Capacity Installed _____

4.6 Do you Work?

1. Full Capacity 2. less than full Capacity

4.7 Percentage of Utilization of Capacity

Year	Capacity Utilization
1997-1998	
1998-1999	
1999-2000	
2000-2001	
2001-2002	
2002-2003	
2003-2004	
2004-2005	
2005-2006	
2006-2007	

4.8 Reasons for under utilization of Capacity:-

1. Lack of demand
2. Lack of finance
3. Shortage of raw materials
4. Shortage of skilled labour
5. Shortage of power
6. Increase in Competition

4.9 Reasons for over utilization of capacity :-

- | | | | |
|-------------------|--------------------------|------------------------|--------------------------|
| 1. Demand is high | <input type="checkbox"/> | 2. Profit is high | <input type="checkbox"/> |
| 3.. Competition | <input type="checkbox"/> | 4. For good reputation | <input type="checkbox"/> |

4.10 Do you use the accounting Techniques:-

1. Yes 2. No.

5. FINANCIAL THREATS:-

5.1 Do you get loan easily and in time?

1. Yes 2. No.

If No, what are the problems you faced.

1. Insufficient amount of loan
2. Untimely availability of Loan
3. Higher Interest rates
4. Procedure is lengthy

5.2 What are the problems you have faced in respect of

1. Licensing -
2. Raw material -
3. Heavy Taxation -
4. Labour -
5. Competition -

5.3 What type of raw material you required?

5.4 Do you get it within the region?

1. Yes 2. No.

If No, from where you purchase?

6. OTHER INFORMATION:-

6.1 Do you get any financial incentive from DIC?

1. Yes 2. No.

If Yes, Rs. _____

6.2 Any financial incentives by the central & state government?

- 1. Differential rate of interest scheme.
- 2. Composite loan scheme.
- 3. Margin money scheme.
- 4. Special capital scheme of SIDBI/DIC.
- 5. Speed capital scheme.
- 6. Equity fund scheme.
- 7. Soft loan scheme for modernization.
- 8. Credit Guarantee scheme.
- 9. Interest free loans.
- 10. Subsidy

6.3 What suggestion would you offer for the effective improvement of your unit?

- 1. New Source of cheap raw material
- 2. Cheap credit
- 3. Extension of market
- 4. Technical education Training & research
- 5. More financial support from Govt.
- 6. Proper Management system

6.4 What are the importance of SSI & in general and foundry Industry in particular for the country?
