

---

**CHAPTER-I**  
**ROUGH SETS**

---

## CHAPTER - I

### ROUGH SETS

#### I:1 INTRODUCTION

Pawlak [P<sub>1</sub>] has developed rough set theory to describe indiscernibility mathematically. Stefan Chanas and Kuchta [C] have defined rough sets slightly in a different way. As we shall see in this chapter, second approach is more general than the first approach. The two concepts coincide, if the rough set have a generator.

Throughout this work  $U$  stands for the universe and  $R$  for an equivalence relation on  $U$ .

#### I:2 ROUGH SETS

##### Definition (I:2:1) [P<sub>1</sub>]

An approximation space is an ordered pair  $K=(U,R)$  where  $U$  is a nonempty set called universe and  $R$  is an equivalence relation on  $U$  called an indiscernibility relation.

Hereafter we assume that  $K = (U,R)$  is an approximation space. We shall denote  $U/R = \{E_\lambda | E_\lambda \varepsilon \wedge\}$ , the set of equivalence classes of  $U$ , induced by  $R$ .

**Definition (I:2:2) [P<sub>1</sub>]**

For each subset  $X \subseteq U$ , the lower approximation of  $X$  with respect to the equivalence relation  $R$ , is the set  $\underline{R}X = U\{Y \in U/R \mid Y \subseteq X\} = \{x \in U \mid [x]_R \subseteq X\}$ ; where  $[x]_R$  denotes equivalence class of  $x \in U$  with respect to  $R$ .

**Definition (I:2:3) [P<sub>1</sub>]**

For each subset  $X \subseteq U$ , the upper approximation of  $X$  with respect to  $R$ , is the set

$$\bar{R}X = U\{Y \in U/R \mid Y \cap X \neq \emptyset\} = \{x \in U \mid [x]_R \cap X \neq \emptyset\}.$$

**Note (I:2:4)**

From above two definitions (I:2:2) and (I:2:3) it is easy to see that  $\underline{R}X \subseteq X \subseteq \bar{R}X$ .

**Definition (I:2:5) [C] [P<sub>1</sub>] [P<sub>2</sub>]**

Let  $\mathcal{E} = \{\emptyset\} \cup \{E_\lambda \mid \lambda \in \Lambda\}$  be the class of R-equivalence classes together with empty set. The elements of  $\mathcal{E}$  are called R-elementary sets.

**Definition (I:2:6) [P<sub>1</sub>, P<sub>2</sub>]**

A subset  $Y \subseteq U$  is R-exact or R-composed set if  $Y$  is a union of  $R$  elementary sets in the approximation space  $K = (U, R)$ , otherwise  $Y$  is R-rough or R-undefinable set.

**Note (I:2:7)**

Hereafter we shall denote  $R$ -rough set as rough set and  $R$ -composed set as composed set.

The following proposition is immediate

**Proposition (I:2:8)**

- (i)  $\underline{R}X$  is the maximal exact set included in  $X$ .  
(ii)  $\bar{R}X$  is the minimal exact set containing  $X$ .

**Proposition (I:2:9)**

Let  $X \subseteq U$  and  $R$  be an equivalence relation on  $U$  in the approximation space  $K = (U, R)$ .

- (i)  $X$  is exact set if and only if  $\underline{R}X = \bar{R}X$ .  
(ii)  $X$  is rough set if and only if  $\underline{R}X \neq \bar{R}X$ .

**Proof :** (i) Suppose that  $X$  is exact set.

$$\begin{aligned} \text{Let } X &= U\{ E_i \mid i \in I \}, \text{ where } I \subseteq \Lambda \\ \text{Then } \underline{R}X &= U\{ E_i \mid E_i \subseteq X, i \in \Lambda \} \\ &\supseteq U\{ E_i \mid E_i \subseteq X, i \in I \} \\ &\supseteq U\{ E_i \mid i \in I \} \\ &= X \\ &\supseteq \underline{R}X \end{aligned}$$

$$\begin{aligned} \text{and } \bar{R}X &= U\{ E_i \mid E_i \cap X \neq \emptyset, i \in \Lambda \} \\ &= U\{ E_i \mid i \in I \} \\ &\quad (\text{Since } E_i \cap E_j = \emptyset \forall i \neq j, i, j \in \Lambda) \\ &= X \end{aligned}$$

Therefore  $\underline{R}X = X = \bar{R}X$

conversely, suppose that  $\underline{R}X = \bar{R}X$

$$\begin{aligned} \text{Let } Y &= U\{ E_i \mid E_i \subseteq X, i \in I \} \\ &= U\{ E_i \mid E_i \cap X \neq \emptyset, i \in I \} \end{aligned}$$

Our claim is  $X = Y$

Clearly  $Y \subseteq X$

Let  $x \in X$ . Then for  $[x]_R$ ,  $[x]_R \cap X \neq \emptyset$

Hence  $[x]_R \subseteq \bigcup \{ E_i \mid E_i \cap X \neq \emptyset, i \in I \} = Y$

Therefore  $x \in Y$

Thus  $X \subseteq Y$  and the claim  $X = Y$ . Hence  $X$  is exact set.

(ii) Obvious.

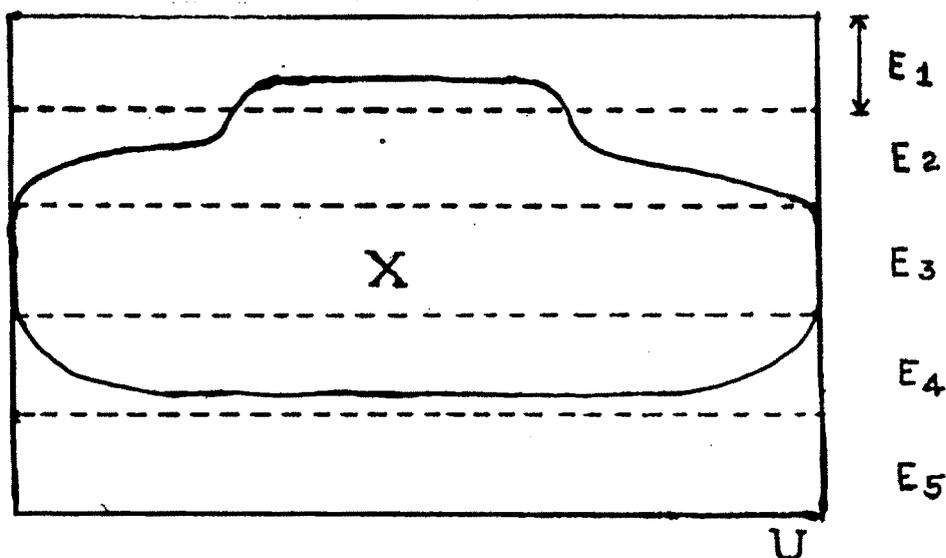
**Definition (I:2:10)  $[P_1, P_2, K]$**

Let  $X \subseteq U$  be a rough set in an approximation space  $K = (U, R)$ .

- i) The lower approximation  $\underline{R}X$  of  $X$  is also called positive region of  $X$ . It is denoted as  $POS_R(X)$ .
- ii) The negative region of  $X$ , in symbol  $NEG_R(X)$ , is defined as,  
 $NEG_R(X) = U - \bar{R}X$ , it is the complement of an upper approximation of  $X$  with respect to  $U$ .
- iii) The boundary region of  $X$ , in symbol  $BON_R(X)$ , is defined as  
 $BON_R(X) = \bar{R}X - \underline{R}X$ . It is the set difference of an upper and lower approximation.

**Example (I:2:11)**

Let  $K = (U, R)$  be the approximation space and  
 $U/R = \{E_1, E_2, E_3, E_4, E_5\}$ .



**DIG (I:2:1)**

If  $X$  is a subset of  $U$  given in Dia. (I:2:1) then clearly

$$\underline{R}X = \{ E_3 \} = \text{POS}_R(X)$$

$$\bar{R}X = \{ E_1, E_2, E_3, E_4 \}$$

$$U - \bar{R}X = U - \{ E_1, E_2, E_3, E_4 \} = \{ E_5 \} = \text{NEG}_R(X)$$

$$\begin{aligned} \bar{R}X - \underline{R}X &= \{ E_1, E_2, E_3, E_4 \} - \{ E_3 \} = \{ E_1, E_2, E_4 \} \\ &= \text{BON}_R(X). \end{aligned}$$

**Remarks (I:2:12)**

Let  $X \subseteq U$  be a rough set in the approximation space  $K = (U, R)$ .

- i) The elements in the positive region of  $X$  are definitely in  $X$ .

- ii) The elements in the negative region of  $X$  are definitely not in  $X$ .
- iii) The elements in the boundary region of  $X$  are possibly the member's of  $X$ .

### I:3 PROPERTIES OF ROUGH SETS

The following results are obvious  $[P_1, P_2, P_3, C]; [K, N_1, N_2, W_1, W_2]$ .

Let  $X, Y \subseteq U$  be rough sets in the space  $K$ . The followings are properties of rough sets.

- i)  $\underline{R} X \subseteq X \subseteq \bar{R} X$
- ii)  $\bar{R}(X \cup Y) = \bar{R} X \cup \bar{R} Y$
- iii)  $\underline{R}(X \cup Y) \supseteq \underline{R} X \cup \underline{R} Y$
- iv)  $\underline{R}(X \cap Y) = \underline{R} X \cap \underline{R} Y$
- v)  $\bar{R}(X \cap Y) \subseteq \bar{R} X \cap \bar{R} Y$
- vi) If  $X \subseteq Y$  then
- (a)  $\underline{R} X \subseteq \underline{R} Y$
- (b)  $\bar{R} X \subseteq \bar{R} Y$
- vii)  $\underline{R}(-X) = -\bar{R}(X)$
- viii)  $\bar{R}(-X) = -\underline{R}(X)$
- ix)  $\underline{R}\underline{R}(X) = \underline{R} X = \bar{R}\bar{R} X$
- x)  $\bar{R}\bar{R} X = \bar{R} X = \underline{R}\underline{R} X$

Where  $-X$  denote the complement of  $X$  with respect to  $U$ .

The following examples shows that the strict containment holds in properties (iii) and (v).

**Example (I:3:1)**

In an approximation space  $K = (U, R)$ ,  
 let  $U = \{ x_1, x_2, \dots, x_9 \}$  and equivalence relation  $R$  have  
 the following equivalence classes,

$$E_1 = \{ x_1, x_7, x_9 \}$$

$$E_2 = \{ x_2, x_8 \}$$

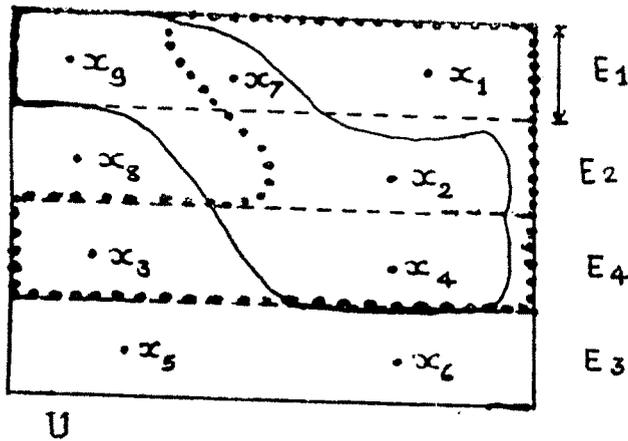
$$E_3 = \{ x_5, x_6 \}$$

$$E_4 = \{ x_3, x_4 \}$$

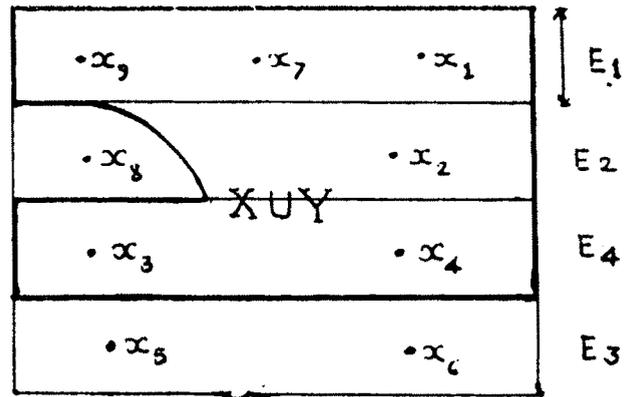
Consider  $X, Y \subseteq U$  as follows

$$X = \{ x_1, x_2, x_3, x_4, x_7 \}$$

$$Y = \{ x_2, x_4, x_7, x_9 \}$$



U  
 DIG (I:3:1)    ..... X  
                   —— Y



U  
 DIG (I:3:2)

From Diag. (I:3:1), we have

$$\underline{R}X = \{ E_4 \}$$

$$\underline{R}Y = \emptyset$$



Hence  $\bar{R}(X' \cap Y') \subseteq \bar{R}X' \cap \bar{R}Y'$ .

But  $\bar{R}(X' \cap Y') \neq \bar{R}X' \cap \bar{R}Y'$

#### I:4 ANOTHER APPROACH TO ROUGH SETS

The rough sets defined in the previous article assume a priori knowledge of subsets of the universal set  $U$ . This is a severe constraint. Therefore a rough set is redefined by Chanas and Kuchta [C]. This definition does not presuppose the knowledge of subsets of  $U$ . We shall discuss this approach in this article.

Let  $\mathcal{E} = \{E_\lambda \mid \lambda \in \Lambda\}$  be a partition of  $U$ .

i.e.  $\bigcup_{\lambda \in \Lambda} E_\lambda = U$  &  $E_\lambda \cap E_\mu = \emptyset, \forall \lambda \neq \mu; \lambda, \mu \in \Lambda$ .

We assume that  $\emptyset \in \mathcal{E}$

##### Definition (I:4:1)

An ordered pair  $K = (U, \mathcal{E})$  is called an approximation space. The elements of  $\mathcal{E}$  are called elementary sets. The union of elementary sets are called composed sets.

##### Note (I:4:2)

The approximation space defined in Def.(I:2:1) and the above definition (I:4:1) are essentially the same concepts.

If  $K = (U, R)$  is an approximation space in the sense of Def.(I:2:1) then  $\mathcal{E} = \{\emptyset\} \cup \{E_\lambda \mid \lambda \in \Lambda\}$  where  $E_\lambda$  are equivalence classes induced by  $R$ . On the other hand if  $(U, \mathcal{E})$  is an approximation space in the sense of above Def.(I:4:1) then  $R$  is an equivalence relation induced by the partition  $\mathcal{E}$ .

For this reasons we shall use both Definition of approximation spaces interchangeably; where the relationship between  $\mathcal{E}$  and  $R$  is obvious.

Definition (I:4:3) [C]

A pair  $(A_1, A_2)$  of subsets of  $U$  is a rough set in an approximation space  $K = (U, \mathcal{E})$ , if

- (i)  $A_1 \subseteq A_2$
- (ii)  $A_1, A_2$  are both composed sets in the approximation space  $K$ .

Definition (I:4:4)

A rough set  $(A_1, A_2)$  is called exact if  $A_1 = A_2$ .

Definition (I:4:5) [C]

A subset  $X \subseteq U$  is a generator for a rough set  $(A_1, A_2)$  in  $K = (U, \mathcal{E})$  if,

- (i)  $A_1 = U\{Y \in \mathcal{E} \mid Y \subseteq X\} = \{x \in U \mid [x]_R \subseteq X\}$
  - (ii)  $A_2 = U\{Y \in \mathcal{E} \mid Y \cap X \neq \emptyset\} = \{x \in U \mid [x]_R \cap X \neq \emptyset\}$
- where  $R$  is the equivalence relation induced by  $\mathcal{E}$ .

**Note (I:4:6)**

(i) If  $R$  is an equivalence relation induced by  $\mathcal{E}$ , then in Def. (I:4:5).

$$A_1 = U\{ Y \in U/R \mid Y \subseteq X \} = \{ x \in U \mid [x]_R \subseteq X \} = \underline{R}X.$$

and

$$A_2 = U\{ Y \in U/R \mid Y \cap X = \Phi \} = \{ x \in U \mid [x]_R \cap X \neq \Phi \} \\ = \bar{R}X.$$

(ii) There is some difference in the concepts of rough (exact) sets given in Def.(I:2:9) and Def.(I:4:3); Def.(I:4:4). If  $X$  is a rough (exact) set according to Def.(I:2:9) then  $\underline{R}X \neq \bar{R}X$  ( $\bar{R}X = \underline{R}X$ ), is a rough (exact) set according to Def.(I:4:3); (I:4:4), in this case  $X$  is a generator of the rough set  $(\underline{R}X, \bar{R}X)$ . On the other hand if  $(A_1, A_2)$  is a rough set according to Def.(I:4:3); then  $X$  is its generator, then  $X$  is a rough set according to Definition (I:2:9). If  $(A_1, A_2)$  is exact then  $A_1 = A_2 = X$ . However, since a rough set in Def.(I:4:3) can have more than one generators, a rough set  $X$  according to (I:2:9) produces unique rough set  $(A_1, A_2)$  according to Def.(I:4:3) and (I:4:4) but not conversely.

The following example depict the concept of rough set :

Example (I:4:7) :

Let  $K = (U, \mathcal{E})$  be an approximation space,

Where  $U = \{ X_1, X_2, \dots, X_{10} \}$  and  $\mathcal{E} = \{ E_1, E_2, \dots, E_5 \}$

$$E_1 = \{ X_1, X_4 \}$$

$$E_2 = \{ X_3, X_7 \}$$

$$E_3 = \{ X_5, X_9 \}$$

$$E_4 = \{ X_8, X_{10} \}$$

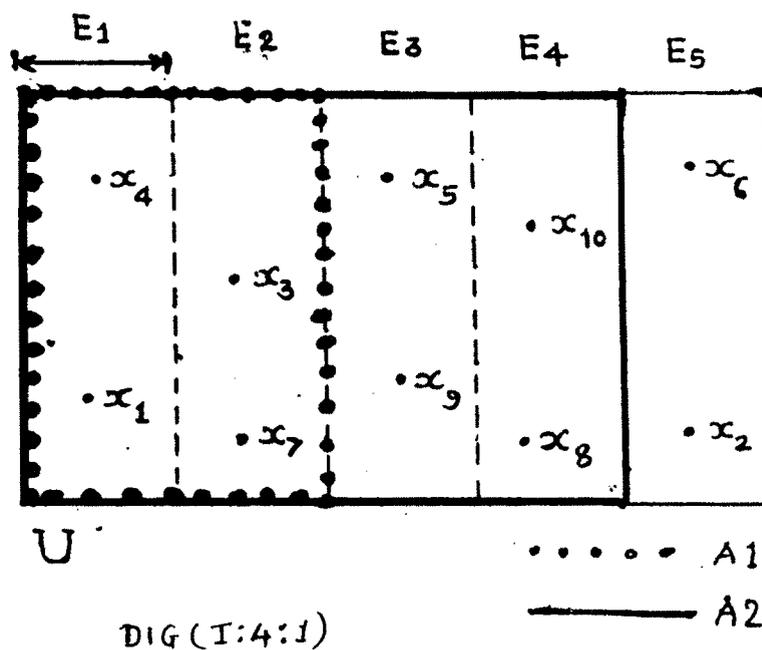
$$E_5 = \{ X_2, X_6 \}$$

Consider,

$$A_1 = \{ X_1, X_3, X_4, X_7 \}$$

$$A_2 = \{ X_1, X_3, X_4, X_7, X_8, X_9, X_{10} \}$$

Then  $(A_1, A_2)$  be rough set in the space  $K$ .



Now consider,

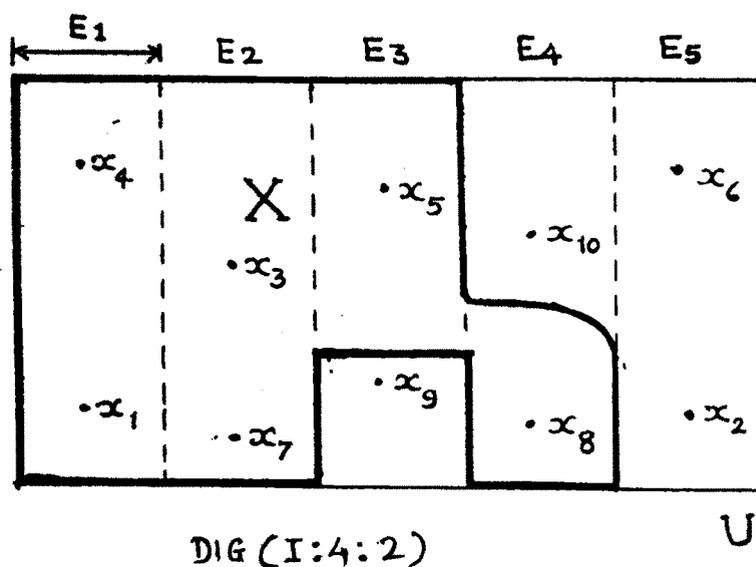
$$X = \{ x_1, x_3, x_4, x_5, x_7, x_8 \} \subseteq U,$$

Then

$$\underline{RX} = E_1 \cup E_2 = A_1.$$

$$\overline{RX} = E_1 \cup E_2 \cup E_3 \cup E_4 = A_2$$

Therefore  $X \subseteq U$  is a generator for rough set  $(A_1, A_2)$ .



**Remarks (I:4:8)**

- (i) If  $X \subseteq U$  is a generator for rough set  $(A_1, A_2)$  in an approximation space  $K = (U, \mathcal{E})$ , then  $A_1 \subseteq X \subseteq A_2$ .
- (ii) For a rough set  $(A_1, A_2)$  in the space  $K = (U, \mathcal{E})$ , may have many generator.

The following example illustrates the above remarks.

**Example (I:4:9)**

Let  $K = (U, \mathcal{E})$  Where  $U = \{X_1, X_2, \dots, X_{12}\}$ ,

$\mathcal{E} = \{ E_1, E_2, \dots, E_7 \}$  and

$E_1 = \{ X_1, X_4 \}$

$E_2 = \{ X_6, X_9 \}$

$E_3 = \{ X_2, X_{10} \}$

$E_4 = \{ X_5 \}$

$E_5 = \{ X_8, X_{11} \}$

$E_6 = \{ X_3, X_{12} \}$

$E_7 = \{ X_7 \}$

Consider,

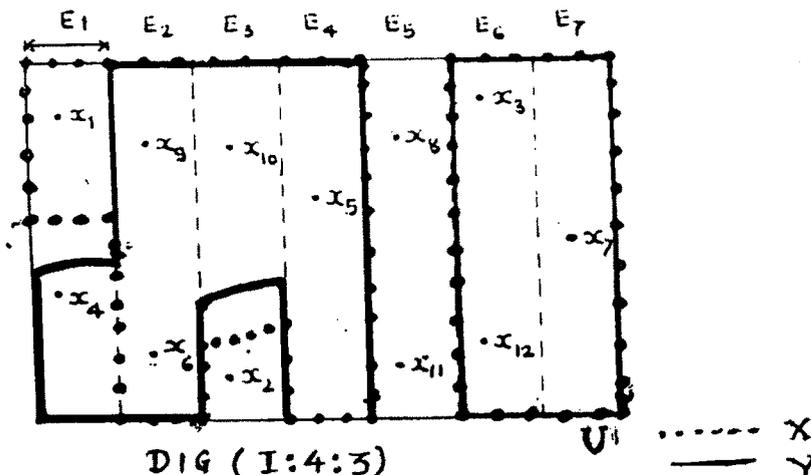
$A_1 = \{ X_3, X_5, X_6, X_7, X_9, X_{12} \}$ .

$A_2 = \{ X_1, X_2, X_3, X_4, X_5, X_6, X_7, X_9, X_{10}, X_{12} \}$

Then  $(A_1, A_2)$  is a rough set in the  $K$ .

Let  $X = \{ X_1, X_3, X_5, X_6, X_7, X_9, X_{10}, X_{12} \} \subseteq U$

$Y = \{ X_3, X_4, X_5, X_6, X_7, X_9, X_{10}, X_{12} \} \subseteq U$



Clearly  $X$  and  $Y$  are generator for the rough set  $(A_1, A_2)$  in an approximation space  $K$ .

And  $A_1 \subseteq X; Y \subseteq A_2$ .

Therefore given rough set  $(A_1, A_2)$  has two generator.

**Definition (I:4:10)[C]**

Let  $(A_1, A_2)$  and  $(B_1, B_2)$  be two rough sets in the approximation space  $K = (U, \mathcal{E})$ .

The union and intersection of rough sets are defined as follows

$$(A_1, A_2) \cup (B_1, B_2) = (A_1 \cup B_1, A_2 \cup B_2) \text{ and}$$

$$(A_1, A_2) \cap (B_1, B_2) = (A_1 \cap B_1, A_2 \cap B_2)$$

**Definition (I:4:11)**

Let  $(A_1, A_2)$  be a rough set in the approximation space  $K = (U, \mathcal{E})$ . The complement of a rough set  $(A_1, A_2)$  is a rough set  $(-A_2, -A_1)$ , where  $-A_2, -A_1$  are usual complements of  $A_1, A_2$  with respect to  $U$ .

**Definition (I:4:12)**

Let  $(A_1, A_2)$  and  $(B_1, B_2)$  be rough sets in the space  $K = (U, \mathcal{E})$ .

- (i) A rough set  $(A_1, A_2)$  is included in rough set  $(B_1, B_2)$  if and only if  $A_1 \subseteq B_1$  and  $A_2 \subseteq B_2$ .
- (ii) A rough set  $(A_1, A_2)$  is properly included in a rough set  $(B_1, B_2)$  if and only if  $A_1 \subset B_1$  or  $A_2 \subset B_2$ .
- (iii) A rough set  $(A_1, A_2)$  is equal to rough set  $(B_1, B_2)$  if and only if
 
$$A_1 = B_1 \text{ and } A_2 = B_2.$$

**Remarks (I:4:13)**

Let  $X, Y$  be the generators of rough sets  $(A_1, A_2)$  and  $(B_1, B_2)$  respectively.

- (i)  $(A_1, A_2) \subseteq (B_1, B_2)$  iff  $\underline{R} X \subseteq \underline{R} Y$  and  $\bar{R} X \subseteq \bar{R} Y$
- (ii)  $(A_1, A_2) \subset (B_1, B_2)$  iff  $\underline{R} X \subset \underline{R} Y$  or  $\bar{R} X \subset \bar{R} Y$
- (iii)  $(A_1, A_2) = (B_1, B_2)$  iff  $\underline{R} X = \underline{R} Y$  and  $\bar{R} X = \bar{R} Y$

**I:5 PROPERTIES OF ROUGH SETS**

Let  $K = (U, \mathcal{E})$  an approximation space and  $X, Y \subseteq U$  be generators of rough sets  $(A_1, A_2)$  and  $(B_1, B_2)$  respectively. The followings are properties of these rough sets.

- (i)  $A_1 \subseteq X \subseteq A_2; B_1 \subseteq Y \subseteq B_2$
- (ii)  $\underline{R} \phi = \phi = \bar{R} \phi; \underline{R} U = U = \bar{R} U$
- (iii)  $\bar{R}(X \cup Y) = \bar{R}X \cup \bar{R}Y = A_2 \cup B_2$
- (iv)  $\underline{R}(X \cup Y) \supseteq \underline{R}X \cup \underline{R}Y = A_1 \cup B_1$
- (v)  $\underline{R}(X \cap Y) = \underline{R}X \cap \underline{R}Y = A_1 \cap B_1$
- (vi)  $\bar{R}(X \cap Y) \subseteq \bar{R}X \cap \bar{R}Y = A_2 \cap B_2$
- (vii) If  $X \subseteq Y$  then

$$a) A_1 \subseteq B_1$$

$$b) A_2 \subseteq B_2$$

i.e. If  $X \subseteq Y$ , then  $(A_1, A_2) \subseteq (B_1, B_2)$

The following proposition is the direct consequence of the Def. (I:4:5) and Note (I:4:6)

**Proposition (I:5:1)**

Let  $K = (U, \mathcal{E})$ .  $X \subseteq U$  is a generator for a rough set  $(A_1, A_2)$  in the space  $K$ , then

$$(i) \underline{R}X = A_1 = \overline{R}X, \quad (ii) \overline{R}X = A_2 = \underline{R}X.$$

**Proposition (I:5:2)**

Let  $K = (U, \mathcal{E})$ . If  $X \subseteq U$  is a generator for rough set  $(A_1, A_2)$ , then

$$(i) \underline{R}(-X) = -\overline{R}(X)$$

$$(ii) \overline{R}(-X) = -\underline{R}(X)$$

**Proof**

$$(i) \text{ Let } x \in \underline{R}(-X) \text{ iff } [x]_R \subseteq -X$$

$$\text{iff } [x]_R \cap X = \emptyset$$

$$\text{iff } x \notin \overline{R}(X)$$

$$\text{iff } x \in -\overline{R}(X)$$

$$(ii) \text{ Let } x \in \overline{R}(-X) \text{ iff } [x]_R \cap -X \neq \emptyset$$

$$\text{iff } [x]_R \not\subseteq X$$

$$\text{iff } x \notin \underline{R}X$$

$$\text{iff } x \in -\underline{R}X$$

**Corollary (I:5:3)**

Let  $K = (U, \mathcal{E})$ . If  $X \subseteq U$  is a generator for rough set  $(A_1, A_2)$  in the space  $K$ , then  $-X$  is a generator for rough set  $(-A_2, -A_1)$ .

The following example shows that the strict containment holds in the properties (iv) and (vi).

**Example (I:5:4)**

In the approximation space  $K = (U, \mathcal{E})$ ,

$U = \{ x_1, x_2, \dots, x_8 \}$ ,  $\mathcal{E} = \{ E_1, E_2, E_3, E_4 \}$  where

$$E_1 = \{ x_1, x_5 \}$$

$$E_2 = \{ x_2, x_8 \}$$

$$E_3 = \{ x_3, x_7 \}$$

$$E_4 = \{ x_4, x_6 \}$$

Consider,

$$A_1 = \{ x_1, x_5 \}$$

$$A_2 = \{ x_1, x_2, x_4, x_5, x_6, x_8 \}$$

Clearly  $(A_1, A_2)$  is a rough set in the space  $K$ .

Let  $X = \{ x_1, x_2, x_4, x_5 \}$ , then  $X$  is a generator for  $(A_1, A_2)$

Next consider,

$$B_1 = \phi, \quad B_2 = \{ x_1, x_2, \dots, x_8 \} = U$$

$$\text{and } Y = \{ x_5, x_6, x_7, x_8 \}$$

$Y$  is a generator for rough set  $(B_1, B_2)$  in the space  $K$ .

$$\text{Since } X \cup Y = \{ x_1, x_2, x_4, x_5, x_6, x_7, x_8 \},$$

$$\underline{R}(X \cup Y) = E_1 \cup E_2 \cup E_4 = \{ x_1, x_2, x_4, x_5, x_6, x_8 \}$$

$$\text{and } \underline{R}X \cup \underline{R}Y = A_1 \cup B_1 = E_1 \cup \phi = \{ x_1, x_5 \}$$

Therefore,  $\underline{R}X \cup \underline{R}Y \subset \underline{R}(X \cup Y)$ . But  $\underline{R}X \cup \underline{R}Y \neq \underline{R}(X \cup Y)$ .

Again since  $X \cap Y = \{ x_5 \}$ ;

$$\bar{R}(X \cap Y) = E_1 = \{ x_1, x_5 \}$$

$$\text{and } \bar{R}X \cap \bar{R}Y = A_2 \cap B_2 = E_1 \cup E_2 \cup E_4$$

Hence  $\bar{R}(X \cap Y) \subset \bar{R}X \cap \bar{R}Y$ . But  $\bar{R}(X \cap Y) \neq \bar{R}X \cap \bar{R}Y$ .

**Remark (I:5:5)**

In example (I:5:4) we observe that,

$$(i) \underline{R}(X \cup Y) = \{ x_1, x_2, x_4, x_5, x_6, x_8 \} \neq \{ x_1, x_5 \} = A_1 \cup B_1$$

Hence  $X \cup Y$  is not a generator for rough set

$$(A_1, A_2) \cup (B_1, B_2) = (A_1 \cup B_1, A_2 \cup B_2).$$

$$(ii) \bar{R}(X \cap Y) = \{ x_1, x_5 \} \neq \{ x_1, x_2, x_4, x_5, x_6, x_8 \} \\ = A_2 \cap B_2$$

Hence  $X \cap Y$  is not a generator for rough set

$$(A_1, A_2) \cap (B_1, B_2) = (A_1 \cap B_1, A_2 \cap B_2).$$

**I:6 GENERATOR FOR ROUGH SETS**

According to Pawlak [P<sub>1</sub>], every rough set has a generator, but according to Chanas and Kuchta [C], a rough set may or may not have a generator. If exist then may or may not be unique.

Let  $(A_1, A_2)$  be a rough set in an approximation space  $K = (U, \mathcal{E})$ .

**Case (i)**

If  $A_2 = \phi$ , then obviously  $A_1 = \phi$  and  $X = \phi$  is a generator for  $(A_1, A_2)$ .

**Case (ii)**

If  $A_1 = A_2$ , then  $X = A_1$  is a generator for  $(A_1, A_2)$ .

**Case (iii)**

If  $A_1 = \emptyset$  and  $A_2 \neq \emptyset$ , let  $A_2 = \bigcup \{ E_\lambda \mid \lambda \in I \}$ ,  
 $I \subseteq \Lambda$

This has following subcases :

- a) If some  $E_\lambda$  is singleton set, then  $(A_1, A_2)$  has no generator. For otherwise  $A_1$  will not be empty.
- b) If no  $E_\lambda$  is singleton set, then by Axiom of choice

construct  $X = \{ x_\lambda \mid x_\lambda \in E_\lambda, \lambda \in I \}$  where precisely one  $x_\lambda$  is chosen from  $E_\lambda$  for each  $\lambda \in I$ . Clearly  $X$  is a generator for  $(A_1, A_2)$ .

**Case (iv)**

If  $A_1 \neq \emptyset$  and  $A_2 \neq \emptyset$ , then suppose that,

$A_1 = \bigcup \{ E_\lambda \mid E_\lambda \in \mathcal{E}, \lambda \in I \}$ ,  $I \subseteq \Lambda$ .

Let  $A_2 = A_1 \cup \{ F_s \mid F_s \in \mathcal{E}, s \in J \}$ ,  $J \subseteq \Lambda$ .

and  $F_s \not\subseteq A_1$  for any  $s \in J$ .

This has the following subcases,

- a) If some  $F_s$  is singleton set then  $(A_1, A_2)$  has no generator.
- b) If no  $F_s$  is singleton set, construct the set  $Y$  consisting of precisely one element from each  $F_s$ ,  $s \in J$ .

Now chose  $X = A_1 \cup Y$ . Clearly  $X$  is a generator for  $(A_1, A_2)$ .

The above discussion leads us to the following proposition.

**Proposition (I:6:1)**

Let  $(A_1, A_2)$  be a non-trivial rough set (i.e.  $A_1 \neq \emptyset \neq A_2$ ) in an approximation space  $K = (U, \mathcal{E})$ , then a rough set  $(A_1, A_2)$  has generator if and only if  $A_2 - A_1$  contains no singleton set.

**Note (I:6:2)**

The generator constructed in the above discussion (I:6) is a minimal generator for rough set  $(A_1, A_2)$ .

**1:7 SUMMERY**

We have shown in this chapter that the first approach to rough set presumes the knowledge of the subset of the universal set  $U$ , while in the second approach it does not.